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PATENT
Customer No. 22,852
Attorney Docket No. 05997.0019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ross H. CORNELL

Application No.: 09/664,403

Filed: September 18, 2000

For: DATA PROCESSING SYSTEMS
AND METHOD FOR CREATING
EFFICIENT FLOATER CLASSES

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) Group Art Unit: 2161
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) Examiner: Dr. Geoffrey Akers, P.E.
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Commissioner for Patents
Washington, DC 20231

Sir:

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AMENDMENT

In reply to the Office Action dated December 12, 2002, the period for reply having been extended for one month by a request for extension and fee payment filed concurrently herewith, please amend the application as follows:

IN THE CLAIMS:

Please cancel claims 1-6 and 11-26. Please amend claims 7, 27, 28, 31, 45, and 46, as follows:

7. (Amended) A data processing system, comprising:

a risk analysis and planning module that analyzes risk elements of interest-rate derivative and mortgage pool components, develops plans for structuring securities

FINNEGAN
HENDERSON
FARABOW
GARRETT &
DUNNER LLP
1300 I Street, NW
Washington, DC 20005
202.408.4000
Fax 202.408.4400
www.finnegan.com

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